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CLIENT/MATTER NAME	U.S. Patent Appln. No. 09/668,547	
CLIENT/MATTER NO.	474712/0005	

## MESSAGE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Eugene Lawrence Podsiadlo

Art Unit No.: 3624

Application No.: 09/668,547

Examiner: Thu Thao Havan

Filed: September 25, 2000

For: COMMUNICATION NETWORK BASED SYSTEM AND METHOD  
FOR AUCTIONING SHARES OF AN INVESTMENT PRODUCT

Enclosed please find:

1. Certificate of Transmission (1 pg.);
2. Request for Continued Examination (RCE) Transmittal  
(PTO/SB/30) (1 pg.)

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Docket No.  
**474712/0005**  
**(10316-003-999)**  
**IGD/JL**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: **Eugene Lawrence Podsiadlo** Group Art Unit: **3624**  
Application No.: **09/668,547** Examiner: **Thu Thao Havan**

Filed: **September 25, 2000**

For: **COMMUNICATION NETWORK BASED SYSTEM AND METHOD FOR  
AUCTIONING SHARES OF AN INVESTMENT PRODUCT**

Date: **January 11, 2006**

**CERTIFICATE OF TRANSMISSION  
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PTO/SB/30 (04-05)

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**Request  
for  
Continued Examination (RCE)  
Transmittal**

Address to:  
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Alexandria, VA 22313-1450

<b>Application Number</b>	<b>09/668,547</b>
<b>Filing Date</b>	<b>September 25, 2000</b>
<b>First Named Inventor</b>	<b>Eugene Lawrence Podsiadlo</b>
<b>Art Unit</b>	<b>3624</b>
<b>Examiner Name</b>	<b>Thu Thao Havan</b>
<b>Attorney Docket Number</b>	<b>474712/0005 (10361-003-999)</b>

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **[Submission required under 37 CFR 1.114]** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a.  Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

- i.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_  
ii.  Other Amendment filed November 21, 2005 \_\_\_\_\_

- b.  Enclosed

- i.  Amendment/Reply      iii.  Information Disclosure Statement (IDS)  
ii.  Affidavit(s)/ Declaration(s)      iv.  Other \_\_\_\_\_

2. **Miscellaneous**

- a.  Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(l) required)  
b.  Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a.  The Director is hereby authorized to charge the following fees, any underpayment of fees, or credit any overpayments, to Deposit Account No. 19-4709. I have enclosed a duplicate copy of this sheet.

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| ii. <input type="checkbox"/> Extension of time fee (37 CFR 1.136 and 1.17) <u>\$ _____</u>    |            |          |          |           |          |
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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Signature		Date	1-11-06	January 11, 2006
Name (Print/Type)	Ian G. DiBernardo, Reg. # 40,991 for Steven B. Pokotilow	Registration No.	26,405	

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Signature		Date	January 11, 2006
Name (Print/Type)	Jeong Eun Lee	Registration No.	

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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